

REVENUE SHARING DISCLOSURE

Prospera offers marketing support programs to product sponsors, providing them the opportunity to communicate directly with Prospera's financial professionals using information provided by Prospera, to market to Prospera's financial professionals using Prospera's internal resources for financial professionals, including its internal website, and to promote their products by participating in conferences, seminars, programs, and other events for Prospera's financial professionals.

Prospera receives third-party compensation from product sponsors in connection with these marketing support programs that support the education and training of its financial professionals on investment products and insurance. Prospera also receives direct revenue sharing from certain product sponsors and platform providers for providing enhanced marketing and education opportunities.

Currently, our conference sponsorship partners include:

- Wells Fargo Clearing Services, LLC
- First Trust
- Pinnacle Insurance and Financial Services, LLC
- TruChoice
- CAIS
- Ash Brokerage
- Brookmont
- Cohen & Steers
- Dividend Assets Capital
- Inland Securities
- Kensington Asset Management
- The Leaders Group
- Lincoln National Life Insurance Company
- LoCorr Funds
- Nationwide
- NASDAQ Dorsey Wright
- Nitrogen
- Polen Capital
- RiverFront Investment Group
- Trust & Will
- US Energy Development Corporation
- VRGL
- YCharts
- Zocks

Partners paying direct revenue sharing compensation based on assets under management:

- Wells Fargo Clearing Services, LLC
- Pinnacle Insurance and Financial Services, LLC
- AssetMark
- SEI
- US Energy Development Corporation
- First Trust