

REVENUE SHARING DISCLOSURE

Prospera offers marketing support programs to product sponsors, providing them the opportunity to communicate directly with Prospera's financial professionals using information provided by Prospera, to market to Prospera's financial professionals using Prospera's internal resources for financial professionals, including its internal website, and to promote their products by participating in conferences, seminars, programs, and other events for Prospera's financial professionals.

Prospera receives third-party compensation from product sponsors in connection with these marketing support programs that support the education and training of its financial professionals on investment products and insurance. Prospera also receives direct revenue sharing from certain product sponsors and platform providers for providing enhanced marketing and education opportunities..

Currently, our conference sponsorship partners include:

- ACAP
- Ash Brokerage
- Blackstone
- Blue Owl
- Brookmont
- CAIS
- Cohen & Steers
- Dividend Assets Capital
- Eaton Vance
- First Trust
- Goldman Sachs
- Hamilton Lane
- Inland Securities
- Kensington Asset Management
- Lincoln National Life Insurance Company
- LoCorr Funds
- NASDAQ Dorsey Wright
- Nationwide
- Nitrogen
- Pinnacle Insurance and Financial Services, LLC
- Polen Capital
- RiverFront Investment Group
- Stepstone
- The Leaders Group
- TruChoice
- Trust & Will
- US Energy Development Corporation
- VRGL
- Wells Fargo Clearing Services, LLC
- Westmoreland
- YCharts
- Zocks

Partners paying direct revenue sharing compensation based on assets under management:

- Wells Fargo Clearing Services, LLC
- Pinnacle Insurance and Financial Services, LLC
- AssetMark
- SEI
- US Energy Development Corporation
- First Trust